

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspio.gov

#### NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

07/26/2005

Cooper & Dunham LLP 1185 Avenue of the Americas New York, NY 10036 EXAMINER

PAPER NUMBER

HUFF, SHEELA JITENDRA

ART UNIT

DATE MAILED: 07/26/2005

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/664,958	09/18/2000	lya Trakht	60240/JPW/SHS	4881

TITLE OF INVENTION: NOVEL TUMOR-ASSOCIATED MARKER

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$15	\$0	\$15	10/26/2005

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(571) 273-2885 or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

appropriate. All further coindicated unless corrected maintenance fee notification	below or directed otherwise	Patent, advance or in Block 1, by (a)	ders and notific ) specifying a r	cation new co	of maintenance fees prrespondence addres	will be mailed to the current is; and/or (b) indicating a sepa	correspondence address as rate "FEE ADDRESS" for	
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)  7590 07/26/2005  Cooper & Dunham LLP  1185 Avenue of the Americas New York, NY 10036					Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, mutave its own certificate of mailing or transmission.			
					Certificate of Mailing or Transmission  I hereby certify that this Fee(s) Transmittal is being deposited with States Postal Service with sufficient postage for first class mail in addressed to the Mail Stop ISSUE FEE address above, or beir transmitted to the USPTO (571) 273-2885, on the date indicated be			
							(Depositor's name)	
							(Signature)	
							(Date)	
APPLICATION NO.	FILING DATE	)	FIRST NAMED I	NVEN	TOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/664,958	09/18/2000		lya Tral	kht		60240/JPW/SHS	4881	
TITLE OF INVENTION: N	OVEL TUMOR-ASSOCIA	IED MARKER						
APPLN. TYPE	SMALL ENTITY	ISSUE FI	EE	PU	BLICATION FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	YES	\$15			\$0	\$15	10/26/2005	
EXAM	IINER	ART UN	IT	CL	ASS-SUBCLASS			
HUFF, SHEER	LA JITENDRA	1643			530-388850	_		
CFR 1.363).  Change of correspond Address form PTO/SB/1  "Fee Address" indica	e address or indication of "F dence address (or Change of 22) attached. tion (or "Fee Address" Indica or more recent) attached. Use	Correspondence	(1) the name or agents OF (2) the name	es of u R, alter e of a s ttorney patent	single firm (having as or agent) and the na attorneys or agents.	ent attorneys 1s a member a 2		
PLEASE NOTE: Unless recordation as set forth in (A) NAME OF ASSIGN	1 37 CFR 3.11. Completion	clow, no assignee of this form is NOT (B	data will appea T a substitute fo RESIDENCE	ar on the filing	ne patent. If an assig g an assignment. Y and STATE OR Co	gnee is identified below, the d OUNTRY)  Corporation or other private gro		
4a. The following fee(s) are			. Payment of Fe		— individual —	Corporation of other private give	Sup chity — Government	
☐ Issue Fee			*	• /	nount of the fee(s) is	enclosed.		
	small entity discount permitte		Payment by credit card. Form PTO-2038 is attached.					
Advance Order - # o	f Copies		The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).					
a. Applicant claims S	(from status indicated above MALL ENTITY status. See	37 CFR 1.27.	☐ b. Applican	nt is no	longer claiming SM	ALL ENTITY status. See 37 C	FR 1.27(g)(2).	
The Director of the USPTO NOTE: The Issue Fee and P interest as shown by the reco	is requested to apply the Issi ublication Fee (if required) vords of the United States Pate	ue Fee and Publicat will not be accepted ent and Trademark	tion Fee (if any) I from anyone o Office.	or to other th	re-apply any previou nan the applicant; a re	sly paid issue fee to the applica gistered attorney or agent; or the	ation identified above. the assignee or other party in	
Authorized Signature			Date					
Typed or printed name _		<del> </del>	Registration No.					
This collection of information application. Confidential submitting the completed at this form and/or suggestion: Box 1450, Alexandria, Virga Alexandria, Virginia 22315. Under the Paperwork Reduction.	on is required by 37 CFR 1.3 ity is governed by 35 U.S.C pplication form to the USPT is for reducing this burden, slinia 22313-1450. DO NOT 1450. etion Act of 1995, no persons	11. The informatio 122 and 37 CFR O. Time will vary lould be sent to the SEND FEES OR C	on is required to 1.14. This colled depending upon the Chief Information COMPLETED For Spond to a collect	obtain ection i on the i ation O FORM ction o	or retain a benefit by s estimated to take 12 ndividual case. Any fficer, U.S. Patent an S TO THIS ADDRE f information unless	y the public which is to file (and 2 minutes to complete, including comments on the amount of tite and Trademark Office, U.S. Dep. SS. SEND TO: Commissioner it displays a valid OMB control	d by the USPTO to process) ig gathering, preparing, and me you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450, number.	



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/664,958	09/18/2000	lya Trakht	60240/JPW/SHS	4881
7	590 07/26/2005		EXAM	INER
Cooper & Dunha			HUFF, SHEEL	A JITENDRA
	New York, NY 10036			PAPER NUMBER
,			1643	
		DATE MAILED: 07/26/2005		

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 202 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 202 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

·	Application No.	Applicant(s)	
	09/664,958	TRAKHT ET AL.	
Notice of Allowability	Examiner	Art Unit	<del>-</del>
	Sheela J. Huff	. 1643	
The MAILING DATE of this communication apperation apperation allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED i or other appropriate comm GHTS. This application is	n this application. If not included unication will be mailed in due cour	se. THIS
2.   The allowed claim(s) is/are 7,9,17,18 and 181-184.			
3. The drawings filed on are accepted by the Examiner	·		
<ul> <li>4. ☐ Acknowledgment is made of a claim for foreign priority una) ☐ All b) ☐ Some* c) ☐ None of the: <ol> <li>1. ☐ Certified copies of the priority documents have</li> <li>2. ☐ Certified copies of the priority documents have</li> <li>3. ☐ Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)).</li> </ol> </li> <li>* Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. </li> </ul>	been received. been received in Application cuments have been received of this communication to file	on No d in this national stage application	
5. A SUBSTITUTE OATH OR DECLARATION must be submit INFORMAL PATENT APPLICATION (PTO-152) which give			CE OF
6. CORRECTED DRAWINGS (as "replacement sheets") mus  (a) including changes required by the Notice of Draftspers  1) hereto or 2) to Paper No./Mail Date  (b) including changes required by the attached Examiner's Paper No./Mail Date  Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in the deposit of the de	on's Patent Drawing Revie  Amendment / Comment of  84(c)) should be written on the header according to 37 Cl  Sit of BIOLOGICAL MAT	r in the Office action of  he drawings in the front (not the bac FR 1.121(d).  ERIAL must be submitted. Note	
Attachment(s)  1. ☐ Notice of References Cited (PTO-892)  2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)  3. ☑ Information Disclosure Statements (PTO-1449 or PTO/SB/0 Paper No./Mail Date 6/3/05  4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	5. ☐ Notice of Ir 6. ☐ Interview S Paper No. 8), 7. ☐ Examiner's	oformal Patent Application (PTO-15 ummary (PTO-413), /Mail Date Amendment/Comment Statement of Reasons for Allowan	



EXAMINER SIGNATURE

# U.S. Department of Commerce Application Number Patent and Trademark Office Filing Date

Application Number 09/664,958

Filing Date September 18, 2000

First Named Inventor Ilya Trakht

Art Unit 1642

Examiner Name Larry R. Helms

## INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

		(Use several sneets if nece	essar	<b>y</b> )		Cammer Name	Larry R. Heims	
	`					Attorney Docket No.	60240/JPW/AJM/	AJD
		U.S.	PA'	TENT DO	CUM	ENTS		
Examiner Initials	Cite No.1	Document Number Number-Kind Code <sup>2 (if known)</sup> Date MM-DD-YYYY			Name of Patentee or Applicant of Cited Document			
		FOREI	GN	PATENT I	OCI	UMENTS		
Examiner Initials	Cite No.	Foreign Patent Document Count Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5 (If knn</sup>	try	Publication Date MM-DD-YY			r Applicant of Cited iment	T <sup>6</sup>
STH	STH 2 EP 1 006 18			06-07-2000		F. Hoffmann-La	Roche AG	
		·						
·		NON PATE	VT I	LITERATU	RE I	DOCUMENTS		· ·
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CA (book, magazine, journal, serial, s	ympo		etc.) da	ate, page(s), volume-issu		T <sup>2</sup>
	3	KIRMAN I, KALANTARO	VC	GF, LOBE	L LI,	HIBSHOOSH H,		
গ্রাম	-	CANFIELD R, TRAKHT I. (2002) Isolation of native human monoclonal autoantibodies to breast cancer. Hybrid Hybridomics 21(6): 405-414; and						
V	4							
W-141						<del></del>		
				<u> </u>				

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds of Codes of USPTO Patent Documents at <a href="https://www.usplo.gov">www.usplo.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English Language Translation is attached.

DATE CONSIDERED

Applicants: Ilya Trakht et al. Serial No.: 09/664,958 Filed: September 18, 2000

Exhibit 1

### **NEW CENTRAL FAX NUMBER**

Effective July 15, 2005

On <u>July 15, 2005</u>, the Central FAX Number will change to **571-273-8300**. This new Central FAX Number is the result of relocating the Central FAX server to the Office's Alexandria, Virginia campus.

Most facsimile-transmitted patent application related correspondence is required to be sent to the Central FAX Number. To give customers time to adjust to the new Central FAX Number, faxes sent to the old number (703-872-9306) will be routed to the new number until September 15, 2005.

After September 15, 2005, the old number will no longer be in service and 571-273-8300 will be the only facsimile number recognized for "centralized delivery".

CENTRALIZED DELIVERY POLICY: For patent related correspondence, hand carry deliveries must be made to the Customer Service Window (now located at the Randolph Building, 401 Dulany Street, Alexandria, VA 22314), and facsimile transmissions must be sent to the Central FAX number, unless an exception applies. For example, if the examiner has rejected claims in a regular U.S. patent application, and the reply to the examiner's Office action is desired to be transmitted by facsimile rather than mailed, the reply must be sent to the Central FAX Number.

-COMMISSIONER FOR PATENTS U. S. DEPARTMENT OF COMMERCE
COMMISSIONER FOR PARTMENT P.O. BOX 1450

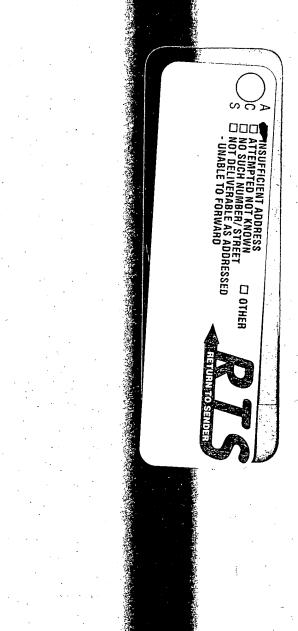
**ALEXANDRIA, VA 22313-1450** IF UNDELIVERABLE RETURN IN TEN DAYS

OFFICIAL BUSINESS



02 1A **3 U** 00004204479 JUL MAILED FROM ZIP CO

AN EQUAL OPPORTUNITY EMPLOYER



USPTO MAIL CENTER RECEIVED AUG 1 0 2005